# EXHIBIT "D"

1 2 3 4 5 6 UNITED STATES DISTRICT COURT 7 WESTERN DISTRICT OF WASHINGTON 8 AT SEATTLE 9 JENNIFER P. SCHWEICKERT, Plaintiff, No. 13-CV-675 10 11 CORPORATE DISCLOSURE v. STATEMENTS OF DEFENDANTS HUNTS POINT VENTURES, INC: HUNTS HUNTS POINT VENTURES, INC. 12 POINT VENTURE GROUP, LLC; CHAD AND HUNTS POINT VENTURE RUDKIN and ELIZABETH RUDKIN, and 13 GROUP, LLC their marital community comprised thereof; JOHN DU WORS, and DOES 1-4; 14 15 Defendants. 16 **HUNTS POINT VENTURES, INC.** Pursuant to Federal Rule of Civil Procedure 7.1 and Local Rules W.D. Wash. LCR 7.1, 17 18 Defendant Hunts Point Ventures, Inc. ("HPV") states that it has no parent company and that no 19 publicly traded corporation owns 10 percent or more of its stock. 20 21 HUNTS POINT VENTURE GROUP, LLC Pursuant to Federal Rule of Civil Procedure 7.1 and Local Rules W.D. Wash. LCR 7.1, 22 Defendant Hunts Point Venture Group, LLC ("HPVG") states that it is an INACTIVE company, 23 has no parent company, and no publicly traded corporation owns 10 percent or more of its stock. 24 Defendant HPVG further states that, while active, it had the following members: 25 26 1. Steven Schweickert CORPORATE DISCLOSURE STATEMENTS OF FOSTER PEPPER PLLC 1111 THIRD AVENUE, SUITE 3400 DEFENDANTS HUNTS POINT VENTURES, INC. SEATTLE, WASHINGTON 98101-3299 AND HUNTS POINT VENTURE GROUP, LLC - 1 PHONE (206) 447-4400 FAX (206) 447-9700 Case No. 13-CV-675

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DATED this 9<sup>th</sup> day of September, 2013. FOSTER PEPPER PLLC /s/ Joel B. Ard Joel B. Ard, WSBA No. 40104 Rylan L.S. Weythman, WSBA No. 45352 1111 Third Avenue, Suite 3400 Seattle, WA 98101-3299 Telephone: (206) 447-4400 Facsimile: (206) 447-9700 ardjb@foster.com weytr@foster.com Attorneys for Defendants Hunts Point Ventures, Inc. and Hunts Point Venture Group, LLC 

CORPORATE DISCLOSURE STATEMENTS OF DEFENDANTS HUNTS POINT VENTURES, INC. AND HUNTS POINT VENTURE GROUP, LLC - 2 Case No. 13-CV-675

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#### CERTIFICATE OF SERVICE

I, Joel B. Ard, state that I am a citizen of the United States of America and a resident of the State of Washington, I am over the age of twenty one years, I am not a party to this action, and I am competent to be a witness herein. The foregoing has been electronically filed with the Clerk of the Court using the CM/ECF System, who will electronically send notification of such filing to all parties who have appeared in this action as of today's date.

There are no other parties who have appeared in this action as of today's date that need to be served manually.

I DECLARE under penalty of perjury under the laws of the State of Washington that the foregoing is true and correct.

DATED this 9<sup>th</sup> day of September, 2013.

/s/ Joel B. Ard

Joel B. Ard

CORPORATE DISCLOSURE STATEMENTS OF DEFENDANTS HUNTS POINT VENTURES, INC. AND HUNTS POINT VENTURE GROUP, LLC - 3 Case No. 13-CV-675

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1	The Honorable Ricardo. S. Martinez		
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7 8	UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE		
9	JENNIFER P. SCHWEICKERT, )		
10	Plaintiff, No. 13-CV-675		
11	v. ) DEFENDANTS CHAD AND ) ELIZABETH RUDKIN'S INITIAL		
12	HUNTS POINT VENTURES, INC.; HUNTS ) POINT VENTURE GROUP, LLC; CHAD and )  DISCLOSURES PURSUANT TO FED. R. CIV. P. 26(a)(1)		
13	ELIZABETH RUDKIN, and their marital community comprised thereof; JOHN DU		
14 15	WORS and AMBER DU WORS, and their marital community comprised thereof; and DOES 1-4,		
16	Defendants.		
17	Defendants Chad and Elizabeth Rudkin ("Rudkin") hereby make the following Initial		
18	Disclosures as required by Federal Rule of Civil Procedure 26(a)(1) and the Order Regarding		
19	Initial Disclosures, Joint Status Report, and Early Settlement (Docket No. 4) as amended by the		
20	order resetting deadlines dated July 2, 2013, which extended the date to file disclosures.		
21			
22	The following is a list, as required by Rule 26(a)(1)(A)(i), of currently known individuals		
23	likely to have discoverable information that Rudkin may use to support claims or defenses.		
24	1. Chad Rudkin. Mr. Rudkin is an officer in Hunts Point and assisted Mr. Phillips		
<ul><li>25</li><li>26</li></ul>	during the Phillips Litigation. He has knowledge regarding relevant facts of this case. Mr. Rudkin may be contacted through his counsel, Foster Pepper, LLC, 1111 Third Avenue, Suite 3400, Seattle, WA 98101, (206) 447-4400.		
	RUDKINS FRCP 26 INITIAL DISCLOSURES- 1 Case No. 13-CV-675 Foster Pepper PLLC 1111 Third Avenue, Suite 3400 Seattle, Washington 98101-3299 Phone (206) 447-4700 Fax (206) 447-9700		

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- 2. Elizabeth Rudkin. Ms. Rudkin is an employee of Hunts Point and assisted Mr. Phillips during the Phillips Litigation. She has knowledge regarding relevant facts of this case. Ms. Rudkin may be contacted through her counsel, Foster Pepper, LLC, 1111 Third Avenue, Suite 3400, Seattle, WA 98101, (206) 447- 4400.
- 3. Jennifer Schweickert. Ms. Schweickert is the plaintiff in this case. She has knowledge regarding relevant facts of her claim including the alleged promissory note, the negotiations leading up to its execution and Hunts Point's efforts to pursue patent litigation. Ms. Schweickert may be contacted through her counsel, Mr. Reed Yurchak, 40 Lake Bellevue Drive, Suite 100, Bellevue, WA 98005, (425) 941-6659.
- 4. Joyce Schweickert. Based upon information and belief, Ms. Joyce Schweickert was involved in discussion regarding the formation of Hunts Point. She has knowledge regarding relevant facts of this case. Ms. Schweickert's current contact information is not known and will be supplemented.
- 5. Steven Schweickert. Based upon information and belief, Mr. Schweickert was involved in discussion regarding the formation of Hunts Point. He has knowledge regarding relevant facts of this case. Mr. Schweickert's current contact information is not known and will be supplemented.
- 6. Mark Phillips. Based upon information and belief, Mr. Phillips was involved in discussions regarding the formation of Hunts Point. He has knowledge regarding relevant facts of this case. Mr. Phillips' current contact information is not known and will be supplemented.
- 7. John DuWors. Mr. DuWors is a defendant in this case who represented Hunts Point Ventures, Inc. ("Hunts Point") in various patent prosecutions and defended Mark Phillips in various civil and criminal proceedings (the "Phillips Litigation"). He has knowledge regarding relevant facts of this case. Mr. DuWors may be contacted through his counsel, Lee Smart, P.S., Inc., 1800 One Convention Place, 701 Pike Street, Seattle, WA 98101, (206) 624-7990.
- 8. Michael Spain. Mr. Spain represented Hunts Point in various patent prosecutions. He has knowledge regarding relevant facts of this case. Mr. Spain may be contacted through his counsel, Lee Smart, P.S., Inc., 1800 One Convention Place, 701 Pike Street, Seattle, WA 98101, (206) 624-7990.
- 9. Derek Linke. Mr. Linke represented Hunts Point in various patent prosecutions. He has knowledge regarding relevant facts of this case. Mr. Linke may be contacted through his counsel, Lee Smart, P.S., Inc.,1800 One Convention Place, 701 Pike Street, Seattle, WA 98101, (206) 624-7990.
- 10. John Whittaker. Mr. Whittaker represented Hunts Point in various patent prosecutions. He has knowledge regarding relevant facts of this case. Mr. Whittaker may be contacted through his counsel, Lee Smart, P.S., Inc.,1800 One Convention Place, 701 Pike Street, Seattle, WA 98101, (206) 624-7990.
- 11. Matt Troncali. Mr. Troncali represented Hunts Point in various patent prosecutions. He has knowledge regarding relevant facts of this case. Mr. Troncali

RUDKINS FRCP 26 INITIAL DISCLOSURES- 2 Case No. 13-CV-675

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1	may be contacted through his counsel, Lee Smart, P.S., Inc.,1800 One Convention Place, 701 Pike Street, Seattle, WA 98101, (206) 624-7990.
2	12. Kenneth Gordon. Based upon information and belief, Mr. Gordon was involved in
3 4	discussions regarding the formation of Hunts Point. He has knowledge regarding relevant facts of this case. Mr. Gordon's current contact information is not known and will be supplemented.
5	13. Douglas Lower. Based upon information and belief, Mr. Lower was involved in discussions regarding the formation of Hunts Point. He has knowledge regarding relevant facts of this case. Mr. Lower's current contact information is not known
7	and will be supplemented.
7	14. Anthony Bay. Mr. Bay was involved in negotiations regarding the Phillips
8	Litigation, including the assignment of Mr. Phillips' intellectual property. He has knowledge regarding relevant facts of this case. Mr. Bay's current contact
9	information is not known and will be supplemented.
10	15. Representative of Hunts Point Ventures, Inc. Hunts Point is the party who executed the promissory note at issue in the complaint and pursued violations of
11	Mr. Phillips' intellectual property. Its corporate representative will have
12	knowledge regarding relevant facts of this case. The representative may be contacted through its counsel, Foster Pepper, LLC, 1111 Third Avenue, Suite 3400, Seattle, WA 98101, (206) 447-4400.
13	
14	16. Representative of Hunts Point Venture Group, LLC. Hunts Point Venture Group, LLC is the entity in which plaintiff alleges she was to have received an equity participation. Its corporate representative will have knowledge regarding relevant
15 16	facts of this case. The representative may be contacted through its counsel, Foster Pepper, LLC, 1111 Third Avenue, Suite 3400, Seattle, WA 98101, (206) 447-4400.
	1100.
17 18	17. Representative of the Estate of Robert Arnold. Mr. Arnold, and later his estate, was involved in negotiations regarding the Phillips Litigation. The estate has knowledge regarding relevant facts of this case. The estate's current contact
19	information is not known and will be supplemented.
	18. Representative of MOD Systems, Inc. MOD Systems, Inc. was involved in
20	negotiations regarding the Phillips Litigation, including the assignment of Mr. Phillips' intellectual property. MOD Systems, Inc. has knowledge regarding
21	relevant facts of this case. MOD Systems, Inc. is currently an inactive corporation. Its registered agent is Derek De Bakker, 1301 Second Avenue, Ste.
22	501, Seattle, WA 98101.
23	19. All witnesses listed by the other parties to this lawsuit; and
24	20. Additional witnesses, the identities of whom are learned through further discovery, investigation, and trial preparation.
25	discovery, investigation, and that preparation.
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#### II. DESCRIPTION OF DOCUMENTS, DATA, AND TANGIBLE THINGS

The following is a description by category, as required by Rule 26(a)(1)(A)(ii), of all currently known documents, data compilations, and tangible things that Rudkin may use to support claims or defenses. These documents are available at the offices of Rudkin's attorneys, Foster Pepper, PLLC. Rudkin reserves the right to supplement this disclosure with additional documents as may be required by further developments, investigation, discovery and/or amendment.

- 1. The Promissory Note and Joint Participation Agreement, dated April 21, 2011
- 2. Formation documents for Hunts Point Ventures, Inc.
- 3. Formation documents for Hunts Point Ventures Group, LLC
- 4. Corporate resolutions, notices, and annual minutes of Hunts Point Ventures, Inc.
- 5. Assignments of Phillips' intellectual property to Hunts Point Ventures, Inc.
- 6. Hunts Point Ventures, Inc. patent litigation documents
- 7. Hunts Point Ventures, Inc. accounting and business records
- 8. Hunts Point Ventures, Inc. legal bills

#### III. COMPUTATION OF CLAIMED DAMAGES

It is the position of Rudkin that there are no damages to the Plaintiff in this case and Rudkin does not seek any damages at this time.

#### IV. INSURANCE AGREEMENT

Rudkin is not aware of any applicable insurance agreement that may be liable to satisfy all or part of any judgment entered in this matter.

#### V. CONCLUSION

Rudkin makes these initial disclosures based on the information reasonably available to it at this state of the proceedings and without waiver of any rights to provide or use additional information, documents, or disclosures that may become available during the litigation. Rudkin will timely supplement this disclosure upon identification of other information.

RUDKINS FRCP 26 INITIAL DISCLOSURES- 4 Case No. 13-CV-675 FOSTER PEPPER PLLC 1111 THIRD AVENUE, SUITE 3400 SEATTLE, WASHINGTON 98101-3299 PHONE (206) 447-4400 FAX (206) 447-9700

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1	DATED this 3d day of September, 2013.	
2		FOSTER PEPPER PLLC
3		
4		s/s Joel B. Ard
5		Joel B. Ard, WSBA No. 40104 Attorneys for Defendants Chad and Elizabeth Rudkin
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7		1111 Third Avenue, Suite 3400 Seattle, WA 98101 Tel: 206-447-6252
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9		Email: ardjb@foster.com
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RUDKINS FRCP 26 INITIAL DISCLOSURES- 5 Case No. 13-CV-675

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#### **CERTIFICATE OF SERVICE**

I, Joel B. Ard, state that I am a citizen of the United States of America and a resident of the State of Washington, I am over the age of twenty one years, I am not a party to this action, and I am competent to be a witness herein. I electronically served the foregoing Initial Disclosures to counsel for all parties of record:

- Reed Yurchak <u>yurchaklaw@gmail.com</u>
- A. Janay Ferguson aif@leesmart.com

There are no other parties who have appeared in this action as of today's date that need to be served manually.

I DECLARE under penalty of perjury under the laws of the State of Washington that the foregoing is true and correct.

DATED this 3d day of September, 2013.

/s/ Joel B. Ard

CERTIFICATE OF SERVICE Case No. 13-CV-675

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